

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/809,768	03/15/2001	Dale Franklin Gray	57940.000002

CONFIRMATION NO. 4980

**FORMALITIES LETTER**



\*OC000000006086349\*

Thomas M. Blasey, Esq.  
Hunton & Williams  
1900 K Street, NW, Suite 1200  
Washington, DC 20006-1109

Date Mailed: 05/17/2001

**NOTICE TO FILE CORRECTED APPLICATION PAPERS**

***Filing Date Granted***

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- A substitute specification in compliance with 37 CFR 1.52 because:
  - Line spacing on the specification, claims, or abstract is not 1-1/2 or double spaced (See 37 CFR 1.52(b)).

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

#2



PATENT  
Attorney Docket No. 57940.000002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of :	)	
	)	
Dale Franklin GRAY	)	
	)	Group Art Unit: 2161
Serial No.: 09/809,768	)	
	)	Examiner: To Be Assigned
Filed: March 15, 2001	)	
	)	

For: APPARATUS AND METHOD FOR MACRO FINANCIAL RISK ANALYSIS AND PROCESSES

**RESPONSE TO NOTICE TO FILE CORRECTED APPLICATION PAPERS**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

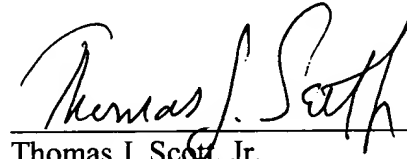
Responsive to the attached U.S. Patent and Trademark Office "Notice to File Corrected Application Papers" mailed May 17, 2001, Applicant is submitting herewith a substitute specification in compliance with 37 CFR § 1.52 for the above-identified patent application. Applicant respectfully requests that this Application be forwarded to the Examiner for a prompt action on its merits.

No fee is due in connection with the filing of this Response. However, in the event any fees are due, please charge our Deposit Account No. 50-0206.

Respectfully submitted,

HUNTON & WILLIAMS

By:



Thomas J. Scott, Jr.

Registration No. 27,836

1900 K Street, N.W., Suite 1200  
Washington, D.C. 20006-1109  
(202) 955-1685 (Telephone)  
(202) 778-2201 (Facsimile)

Dated: June 5, 2001